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## AN ALTERNATIVE INTUITIONISTIC VERSION OF MALLY’S DEONTIC LOGIC

**A b s t r a c t.** Some years ago, Lokhorst proposed an intuitionistic reformulation of Mally’s deontic logic (1926). This reformulation was unsatisfactory, because it provided a striking theorem that Mally himself did not mention. In this paper, we present an alternative reformulation of Mally’s deontic logic that does not provide this theorem.

### 1. Introduction

Some years ago, Lokhorst proposed an intuitionistic reformulation of Mally’s deontic logic (1926) [3]. This reformulation was unsatisfactory, because it provided a striking theorem that Mally himself did not mention, namely  $\circ(A \vee \neg A)$ . In this paper, we present an alternative reformulation of Mally’s deontic logic that does not provide this theorem.

## 2. Definitions

**Heyting's system of intuitionistic propositional logic  $\mathbf{h}$**  is defined as follows [1, Ch. 2].

- Axioms: (a)  $A \rightarrow (B \rightarrow A)$ .  
 (b)  $(A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$ .  
 (c)  $(A \wedge B) \rightarrow A$ ;  $(A \wedge B) \rightarrow B$ .  
 (d)  $A \rightarrow (B \rightarrow (A \wedge B))$ .  
 (e)  $A \rightarrow (A \vee B)$ ;  $B \rightarrow (A \vee B)$ .  
 (f)  $(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow ((A \vee B) \rightarrow C))$ .  
 (g)  $\perp \rightarrow A$ .

Rule:  $A, A \rightarrow B / B$  (modus ponens, MP).

Definitions:  $\neg A = A \rightarrow \perp$ ,  $\top = \neg \perp$ ,  $A \leftrightarrow B = (A \rightarrow B) \wedge (B \rightarrow A)$ .

**The second-order intuitionistic propositional calculus with comprehension  $\mathbf{C2h}$**  is  $\mathbf{h}$  plus [1, Ch. 9]:

- Axioms: Q1  $(\forall x)A(x) \rightarrow A(y)$ .  
 Q2  $A(y) \rightarrow (\exists x)A(x)$ .  
 Q5  $(\forall x)(B \vee A(x)) \rightarrow (B \vee (\forall x)A(x))$ ,  $x$  not free in  $B$ .  
 Q6  $(\exists x)(x \leftrightarrow A)$ ,  $x$  not free in  $A$ .

Rules: Q3  $A(x) \rightarrow B / (\exists x)A(x) \rightarrow B$ ,  $x$  not free in  $B$ .

Q4  $B \rightarrow A(x) / B \rightarrow (\forall x)A(x)$ ,  $x$  not free in  $B$ .

Definition:  $\perp \stackrel{\text{df}}{=} (\forall x)x$  [1, Ch. 9, Exercise 10].

**An intuitionistic version of Mally's deontic logic  $\mathbf{OC2h}$**  is  $\mathbf{C2h}$  plus [4, Ch. I]:

**A1**  $((A \rightarrow \circ B) \wedge (B \rightarrow C)) \rightarrow (A \rightarrow \circ C)$ .

**A2**  $((A \rightarrow \circ B) \wedge (A \rightarrow \circ C)) \rightarrow (A \rightarrow \circ(B \wedge C))$ .

**A3**  $(A \rightarrow \circ B) \leftrightarrow \circ(A \rightarrow B)$ .

**A4**  $\circ \top$ .

**A5**  $\neg(\top \rightarrow \circ \perp)$ .

Some comments on  $\mathbf{OC2h}$ :

1. Mally wrote  $!A$  instead of  $\circ A$ . He read  $!A$  as "it ought to be case that  $A$ " or "it is required that  $A$  is the case." He read  $A \rightarrow !B$  as " $A$  requires  $B$ ."

2. Definition:  $\mathbf{U} \stackrel{\text{df}}{=} \top$ . Mally read  $\mathbf{U}$  as “the unconditionally required” or “what conforms with what ought to be the case.”
3. Definition:  $\mathbf{\Omega} \stackrel{\text{df}}{=} \perp$ . Mally read  $\mathbf{\Omega}$  as “what conflicts with what ought to be the case.”
4. Mally wrote  $\exists \mathbf{U} \circ \mathbf{U}$  instead of A4. We regard  $\exists \mathbf{U} \circ \mathbf{U}$  as ill-formed, because we view  $\mathbf{U}$  as a constant. We therefore replace  $\exists \mathbf{U} \circ \mathbf{U}$  by  $(\exists x)((x \leftrightarrow \mathbf{U}) \wedge \circ x)$  (this is formula T15'' in the Appendix below). This agrees with Mally's informal interpretation of  $\exists \mathbf{U} \circ \mathbf{U}$ .

### 3. Theorems

**Definition 1.** Let  $A$  be a formula in the language of  $\circ\mathbf{C2h}$ . By induction on the number of connectives in  $A$  we define two translations,  $[A]^+$  and  $[A]^-$ , of  $A$  into the formulas of  $\mathbf{C2h}$  as follows:

1. If  $A$  is atomic, then  $[A]^\pm \stackrel{\text{df}}{=} A$ .
2.  $[\perp]^\pm \stackrel{\text{df}}{=} \perp$ .
3.  $[A_1 \otimes A_2]^\pm \stackrel{\text{df}}{=} [A_1]^\pm \otimes [A_2]^\pm$ , where  $\otimes$  is  $\wedge$ ,  $\vee$  or  $\rightarrow$ .
4.  $[(Qx)A(x)]^\pm \stackrel{\text{df}}{=} (Qx)[A(x)]^\pm$ , where  $(Qx)$  is  $(\forall x)$  or  $(\exists x)$ .
5.  $[\circ A]^+ \stackrel{\text{df}}{=} [A]^+$  and  $[\circ A]^- \stackrel{\text{df}}{=} \neg\neg[A]^-$ .

**Theorem 1.** (After [2, Theorem 1, p. 312].) *If  $A$  is a theorem of  $\circ\mathbf{C2h}$ , then  $[A]^\pm$  is a theorem of  $\mathbf{C2h}$ .*

**Proof.** By induction on the construction of the proof of  $A$ . *Base case:* for each axiom  $A$  of  $\circ\mathbf{C2h}$ ,  $[A]^\pm$  is a theorem of  $\mathbf{C2h}$ , as can easily be checked. *Inductive step:* MP, Q3 and Q4 preserve this property. Suppose that the theorem holds for  $A$ ,  $B$  and that  $\circ\mathbf{C2h}$  provides  $A/B$  by rule  $R$  (induction hypothesis). We show that  $\mathbf{C2h}$  provides  $[A]^\pm/[B]^\pm$  by  $R$ .

Case  $R$  of:

- MP: let  $A \stackrel{\text{df}}{=} C$ ,  $B \stackrel{\text{df}}{=} C \rightarrow D$ .  $\mathbf{C2h}$  provides  $[A]^\pm/[B]^\pm$  by  $R$ , because  $[A]^\pm = [C]^\pm$  and  $[B]^\pm \stackrel{\text{df}}{=} [C \rightarrow D]^\pm \stackrel{\text{df}}{=} [C]^\pm \rightarrow [D]^\pm$ .
- Q3: let  $A \stackrel{\text{df}}{=} C(x) \rightarrow D$ ,  $B = (\exists x)C(x) \rightarrow D$ ,  $x$  not free in  $D$ .  $\mathbf{C2h}$  provides  $[A]^\pm/[B]^\pm$  by  $R$ , because  $[A]^\pm \stackrel{\text{df}}{=} [C(x) \rightarrow D]^\pm \stackrel{\text{df}}{=} [C(x)]^\pm \rightarrow [D]^\pm$  and  $[B]^\pm \stackrel{\text{df}}{=} [(\exists x)C(x) \rightarrow D]^\pm \stackrel{\text{df}}{=} (\exists x)[C(x)]^\pm \rightarrow [D]^\pm$ .

- Q4: let  $A \stackrel{\text{df}}{=} C \rightarrow D(x)$ ,  $B = [C \rightarrow (\forall x)D(x)]^\pm$ ,  $x$  not free in  $C$ . **C2h** provides  $[A]^\pm/[B]^\pm$  by  $R$ , because  $[A]^\pm \stackrel{\text{df}}{=} [C \rightarrow D(x)]^\pm \stackrel{\text{df}}{=} [C]^\pm \rightarrow [D(x)]^\pm$  and  $[B]^\pm \stackrel{\text{df}}{=} [C \rightarrow (\forall x)D(x)]^\pm \stackrel{\text{df}}{=} [C]^\pm \rightarrow (\forall x)[D(x)]^\pm$ .

□

**Theorem 2.** (After [2, Theorem 1, p. 312].) *Let  $p$  be an atomic formula. There is no formula  $A$  in the language of **C2h** such that  $\circ\mathbf{C2h} \vdash \circ p \leftrightarrow A$ .*

**Proof.** From Theorem 1. If for some formula  $A$  of **C2h**,  $\circ\mathbf{C2h} \vdash \circ p \leftrightarrow A$ , then  $\mathbf{C2h} \vdash \neg\neg p \leftrightarrow A$  and  $\mathbf{C2h} \vdash p \leftrightarrow A$ , since  $[A]^\pm$  is  $A$ . Hence  $\mathbf{C2h} \vdash p \leftrightarrow \neg\neg p$ , but this is false. □

**Definition 2.** For theories  $T$  based on intuitionistic logic, if  $A$  is an arbitrary formula of the language of  $T$ , then  $A$  is stable in  $T$  if and only if  $T$  provides  $\neg\neg A \rightarrow A$ .

**Theorem 3.**  $\circ A$  is not stable in  $\circ\mathbf{C2h}$ .

**Proof.** From Theorem 1.  $[\neg\neg\circ p \rightarrow \circ p]^+$  ( $\stackrel{\text{df}}{=} \neg\neg p \rightarrow p$ ) is not a theorem of **C2h**. □

**Theorem 4.**  $\circ\mathbf{C2h}$  provides A1–A5 and all theorems of [4, Chs. I–II] (see Appendix), except:

$$\mathbf{T12c} \quad \circ(A \rightarrow B) \leftrightarrow \circ\neg(A \wedge \neg B).$$

$$\mathbf{T12d} \quad \circ\neg(A \wedge \neg B) \leftrightarrow \circ(\neg A \vee B).$$

$$\mathbf{T13a} \quad (A \rightarrow \circ B) \leftrightarrow \neg(A \wedge \neg \circ B).$$

$$\mathbf{T13b} \quad \neg(A \wedge \neg \circ B) \leftrightarrow (\neg A \vee \circ B).$$

$$\mathbf{T14} \quad (A \rightarrow \circ B) \leftrightarrow (\neg B \rightarrow \circ\neg A).$$

**Proof.** From Theorem 1. For each formula  $A$  on the above list,  $[A]^+$  is not a theorem of **C2h**. Additionally,  $[\mathbf{T13b}]^-$  is not a theorem of **C2h**. □

**Theorem 5.**  $\circ\mathbf{C2h}$  does not provide  $\circ(A \vee \neg A)$ .

**Proof.** From Theorem 1.  $[\circ(p \vee \neg p)]^+$  ( $\stackrel{\text{df}}{=} p \vee \neg p$ ) is not a theorem of **C2h**. □

#### 4. Conclusion

The intuitionistic reformulation of Mally's deontic logic proposed in [3] provided  $\circ(A \vee \neg A)$ . This formula is not a theorem of  $\circ\mathbf{C2h}$ . Moreover, Mally did not mention this formula.  $\circ\mathbf{C2h}$  is, in a sense, therefore more adequate than the intuitionistic reformulation proposed in [3], even though the latter reformulation lacked only T13b (from the formulas mentioned in Theorem 4).

#### Appendix

All theorems from [4, Ch. II], as listed in [5, pp. 121–123], plus one theorem that seems to have been overlooked in [5, pp. 121–123], namely T15'' (cf. [4, Ch. I, axiom IV]). All theorems are derivable in  $\circ\mathbf{C2h}$ , except those marked with a † (Theorem 4).

T01	$(C \rightarrow \circ(A \wedge B)) \rightarrow ((C \rightarrow \circ A) \wedge (C \rightarrow \circ B))$
T02	$((C \rightarrow \circ A) \wedge (C \rightarrow \circ B)) \leftrightarrow (C \rightarrow \circ(A \wedge B))$
T1	$(A \rightarrow \circ B) \rightarrow (A \rightarrow \circ\top)$
T2'	$(A \rightarrow \circ\perp) \rightarrow (\forall x)(A \rightarrow \circ x)$
T2''	$(\forall x)(A \rightarrow \circ x) \rightarrow (A \rightarrow \circ\perp)$
T3	$((C \rightarrow \circ A) \vee (C \rightarrow \circ B)) \rightarrow (C \rightarrow \circ(A \vee B))$
T4	$((C \rightarrow \circ A) \wedge (D \rightarrow \circ B)) \rightarrow ((C \wedge D) \rightarrow \circ(A \wedge B))$
T5a	$\circ A \leftrightarrow (\forall x)(x \rightarrow \circ A)$
T5b	$(\forall x)(x \rightarrow \circ A) \leftrightarrow (\forall x)(x \rightarrow \circ A)$
T6	$(\circ A \wedge (A \rightarrow B)) \rightarrow \circ B$
T7	$\circ A \rightarrow \circ\top$
T8	$((A \rightarrow \circ B) \wedge (B \rightarrow \circ C)) \rightarrow (A \rightarrow \circ C)$
T9	$(\circ A \wedge (A \rightarrow \circ B)) \rightarrow \circ B$
T10	$(\circ A \wedge \circ B) \leftrightarrow \circ(A \wedge B)$
T11	$((A \rightarrow \circ B) \wedge (B \rightarrow \circ A)) \leftrightarrow \circ(A \leftrightarrow B)$
T12a	$(A \rightarrow \circ B) \leftrightarrow (A \rightarrow \circ B)$
T12b	$(A \rightarrow \circ B) \leftrightarrow \circ(A \rightarrow B)$
†T12c	$\circ(A \rightarrow B) \leftrightarrow \circ\neg(A \wedge \neg B)$
†T12d	$\circ\neg(A \wedge \neg B) \leftrightarrow \circ(\neg A \vee B)$
†T13a	$(A \rightarrow \circ B) \leftrightarrow \neg(A \wedge \neg \circ B)$
†T13b	$\neg(A \wedge \neg \circ B) \leftrightarrow (\neg A \vee \circ B)$
†T14	$(A \rightarrow \circ B) \leftrightarrow (\neg B \rightarrow \circ\neg A)$
T15	$(\forall x)(x \rightarrow \circ\mathbf{U})$

T15''	$(\exists x)((x \leftrightarrow \mathbf{U}) \wedge \circ x)$
T16	$(\mathbf{U} \rightarrow A) \rightarrow \circ A$
T17	$(\mathbf{U} \rightarrow \circ A) \rightarrow \circ A$
T18	$\circ \circ A \rightarrow \circ A$
T19	$\circ \circ A \leftrightarrow \circ A$
T20	$(\mathbf{U} \rightarrow \circ A) \leftrightarrow ((A \rightarrow \circ \mathbf{U}) \wedge (\mathbf{U} \rightarrow \circ A))$
T21	$\circ A \leftrightarrow ((A \rightarrow \circ \mathbf{U}) \wedge (\mathbf{U} \rightarrow \circ A))$
T22	$\circ \top$
T23'	$\top \rightarrow \circ \mathbf{U}$
T23''	$\mathbf{U} \rightarrow \circ \top$
T23'''	$\circ(\mathbf{U} \leftrightarrow \top)$
T24	$A \rightarrow \circ A$
T25	$(A \rightarrow B) \rightarrow (A \rightarrow \circ B)$
T26	$(A \leftrightarrow B) \rightarrow ((A \rightarrow \circ B) \wedge (B \rightarrow \circ A))$
T27	$(\forall x)(\mathbf{\Omega} \rightarrow \circ \neg x)$
T27'	$(\forall x)(\mathbf{\Omega} \rightarrow \circ x)$
T28	$\mathbf{\Omega} \rightarrow \circ \mathbf{\Omega}$
T29	$\mathbf{\Omega} \rightarrow \circ \mathbf{U}$
T30	$\mathbf{\Omega} \rightarrow \circ \perp$
T31	$(\mathbf{\Omega} \rightarrow \circ \perp) \wedge (\perp \rightarrow \circ \mathbf{\Omega})$
T31'	$\circ(\mathbf{\Omega} \leftrightarrow \perp)$
T32	$\neg(\mathbf{U} \rightarrow \circ \perp)$
T33	$\neg(\mathbf{U} \rightarrow \perp)$
T34	$\mathbf{U} \leftrightarrow \top$
T35	$\mathbf{\Omega} \leftrightarrow \perp$

## References

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